

Date October 9, 2002 Label No. EVI-6735245US

I hereby certify that, on the date indicated above, I deposited this paper with identified attachments and/or fee with the U.S. Postal Service and that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

Dawn M Berry Dawn M Berry  
Name (Print) Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ralph P. Thompson

Application No.: 09/995,888

Filed: November 28, 2001

For: FILTER CARTRIDGE ASSEMBLIES AND METHODS FOR FILTERING FLUIDS

Group Art Unit: 1724 .

Examiner: Unassigned

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR 1.97(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

October 9, 2002

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached. This Supplemental Information Disclosure Statement is being submitted before issuance of an Office Action on the merits and before any Final Office Action or Notice of Allowance. Should a first Office Action cross in the mail with the filing of this Supplemental Information Disclosure statement, then applicants respectfully petition under 37 C.F.R. § 1.97(d) to consider the documents set forth in the Information Disclosure Statement.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in this application and

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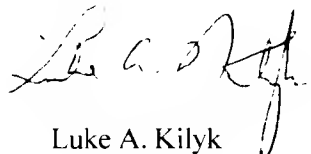
Information Disclosure Statement  
U.S. Patent Application No. 09/995,888

applicant determines that the cited documents(s) do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

It is believed that no fee is required to make this a complete and timely filing. However, if it is determined that a petition or fee is required, the Commissioner is hereby authorized to charge any fee associated with this statement to our Deposit Account No. 50-0925 and please consider this a petition.

Respectfully submitted,



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Atty. Docket No. 3192-001  
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Enclosures: PTO-1449, w/47 Documents

Information Disclosure Statement  
U.S. Patent Application No. 09/995,888



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Atty. Docket No.: 3192-001			Application No.: 09/995,888				
Applicant: Ralph P. Thompson							
Filing Date: November 28, 2001		Group Art Unit: 1724					
U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	1	Des. 282,578	2/11/86	Humphreys et al.	D24	21	
	2	3,669,878	6/13/72	Marantz et al.	210	22	
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	6	3,703,959	11/28/72	Raymond	210	87	
	7	3,850,835	11/26/74	Marantz et al.	252	182	
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U.S. Patent Application No. 09/995,888



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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

45	International Search Report for PCT/US01/44623
46	Copy of U.S. Patent Application 09/723,396
47	Copy of U.S. Patent Application No. 09/996,505

Examiner		Date Considered	
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>			
Form PTO 1449		Patent and Trademark Office - U.S. Department of Commerce	